

# Convolutional neural networks

## Lecture 7

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# **Convolutional neural networks (CNNs) and history**

# Recap: Data organization & evaluation

$m$  is a deciding factor for the **ratio** of data split:

4 regimes: Small, middle, large and ultra-large

val set dist.  $\sim$  test set dist.  $\sim$  target dist.

Cross validation for evaluation:

Choose a hyperparameter that minimizes the average validation loss:

$$\text{val loss} = \frac{\text{val}_1 + \text{val}_2 + \text{val}_3 + \text{val}_4}{4}$$

# Recap: Techniques for DNNs

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Weight initialization: Xavier's, He's

Training stability: Adam optimizer, BN

Hyperparameter search:

#  $L$  of layers, #  $n^{[\ell]}$  of hidden neurons, activation

# Recap: DNNs

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Model complexity is very high.

This often leads to the **overfitting** problem.

This motivates the use of generalization techniques:

Regularization; data augmentation;

early stopping; drop out

# Recap: Tensorflow coding

## Train-val-test data split:

```
from sklearn.model_selection import train_test_split
```

## BN, dropout, activation, early stopping, lr decay:

```
from keras.layers import BatchNormalization, Dropout, ReLU
```

```
from keras.callbacks import EarlyStopping, LearningRateScheduler
```

# What is next?

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One important question:

Can DNNs be **specialized**?

*CNNs*: Image data

*RNNs*: Text/audio data (language) and  
any sequential data

# Outline of today's lectures

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Focus on **CNNs**.

Specifically we will:

1. Investigate how CNNs were developed;
2. Study the two key building blocks;
  - Conv layer
  - Pooling layer
3. Discuss two popular CNN architectures.



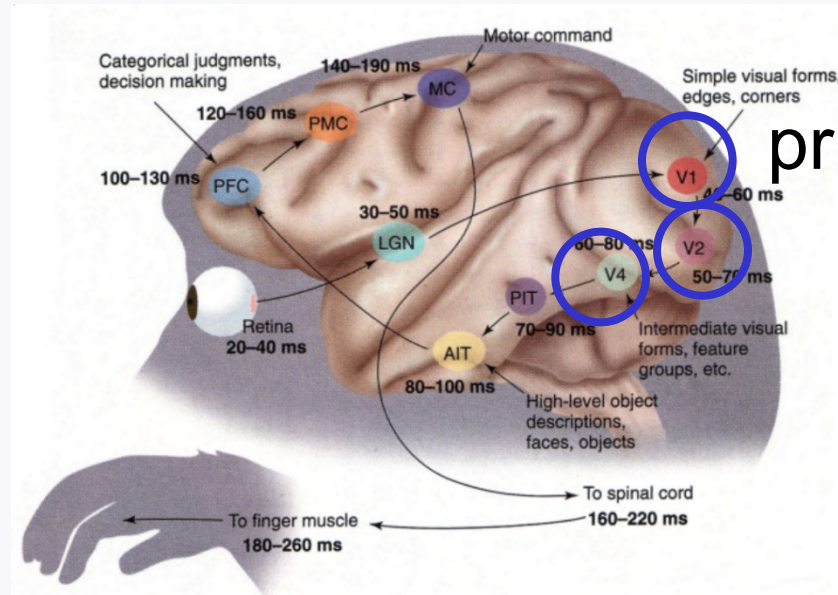
# Focus of Lecture 7

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Conv layer  
Pooling layer
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# Visual cortex (시각피질)



primary visual cortex

## Hubel & Wiesel '58/'59 observed:

1. *React only to a limited region (receptive field)*
2. Higher-level neurons are based on lower-level ones.

\*Won them the **Nobel Prize** in Physiology or Medicine in '81.

# Led to the birth of CNNs

Inspired Fukushima to propose the first CNN in 1980: **Neocognitron**



Kunihiko  
Fukushima  
**1980**

Developed another CNN in 1988:  
**LeNet-5**

Commercialized for handwritten  
check numbers recognition.



Yann LeCun  
**1988**

# Two building blocks of CNNs

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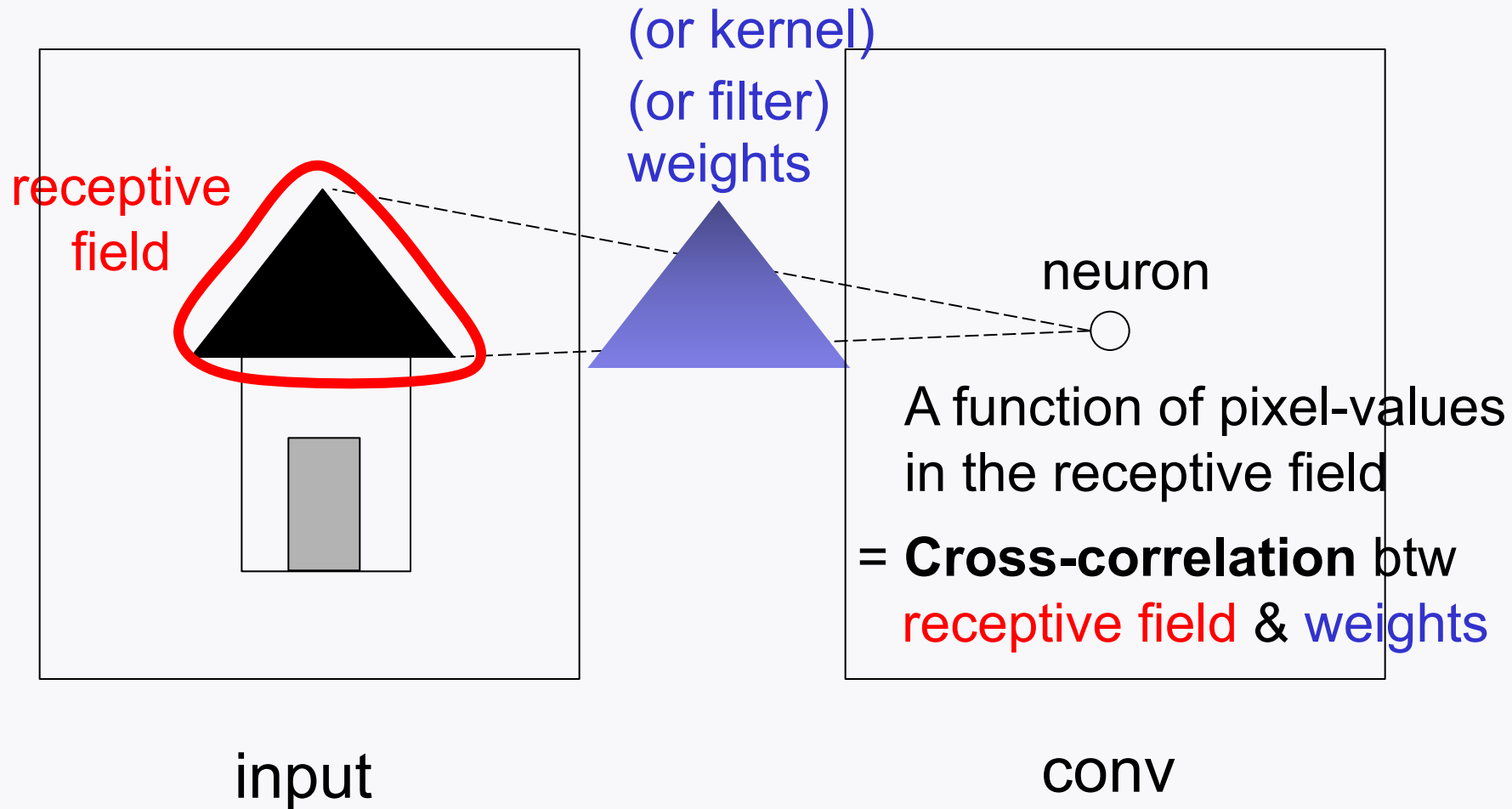
## 1. Convolutional layer (Conv layer)

**Role:** Mimick neurons' behaviors:  
Reacting only to receptive fields.

## 2. Pooling layer

**Role:** Downsample to reduce complexity  
(# parameters & memory size).

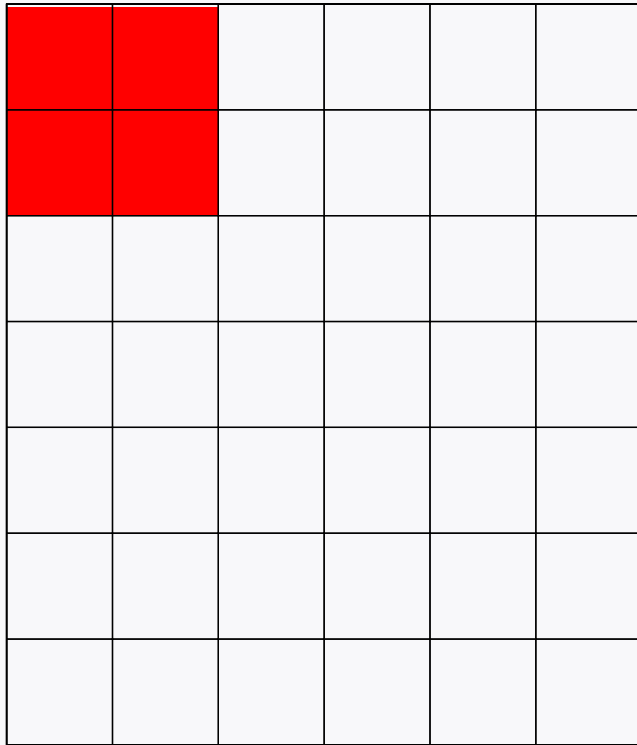
# Conv layer



\*Convolution operation is very similar to cross-correlation.

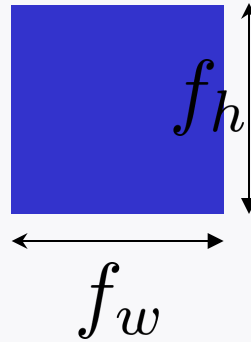
# Abstraction via grids

receptive field

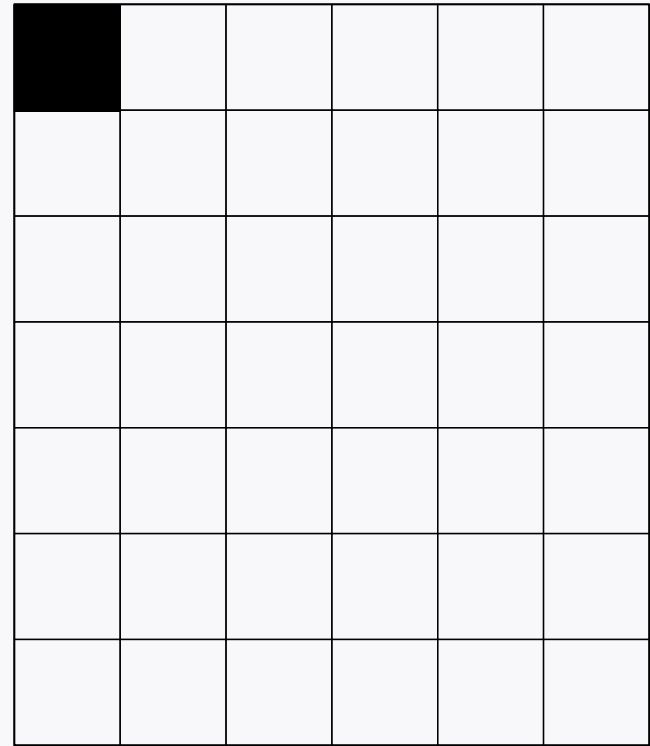


input

filter



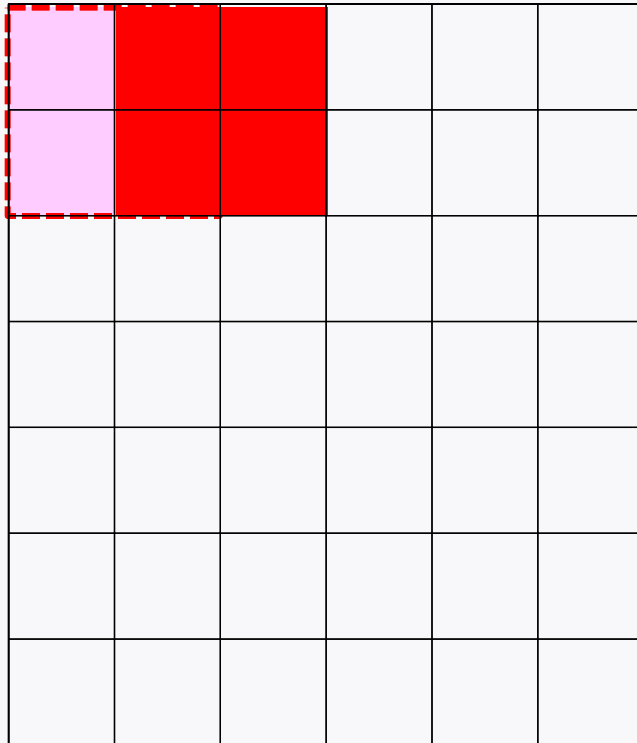
neuron



conv

# What about for next *right* neuron?

stride  $s_w$



input

same filter



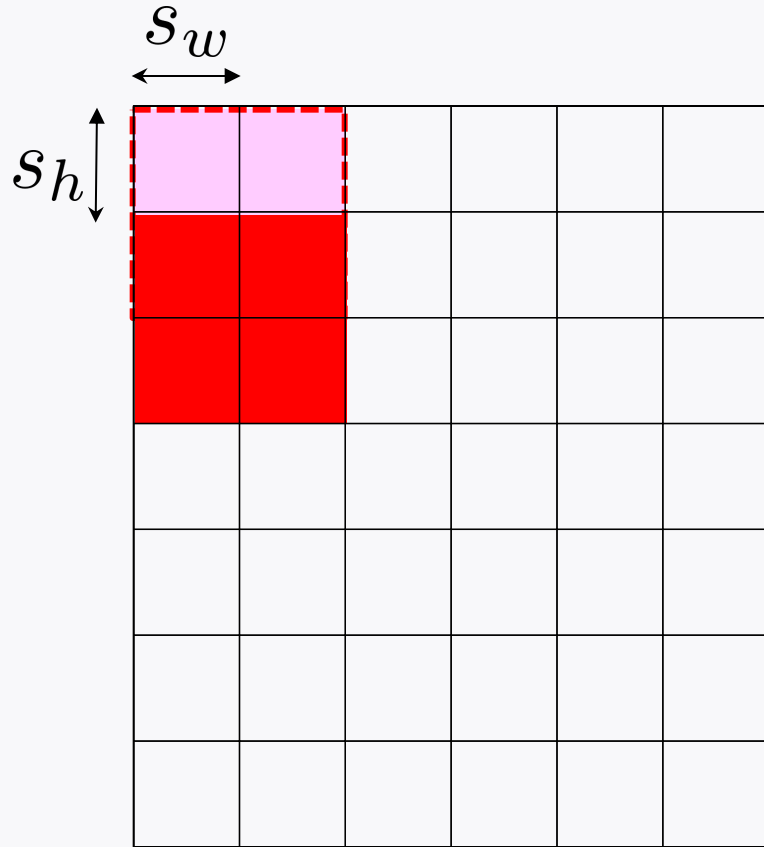
neuron



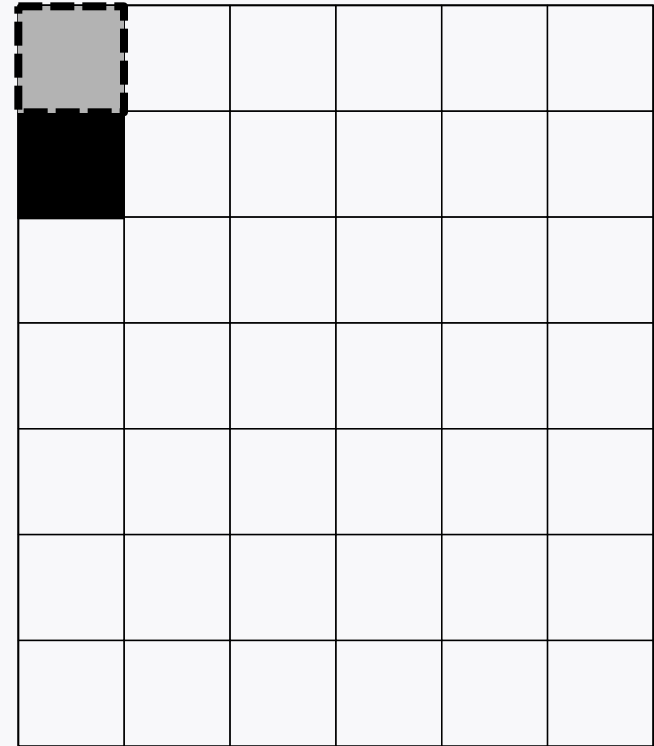
Later will see why we share the same filter

conv

# What about for next *below* neuron?



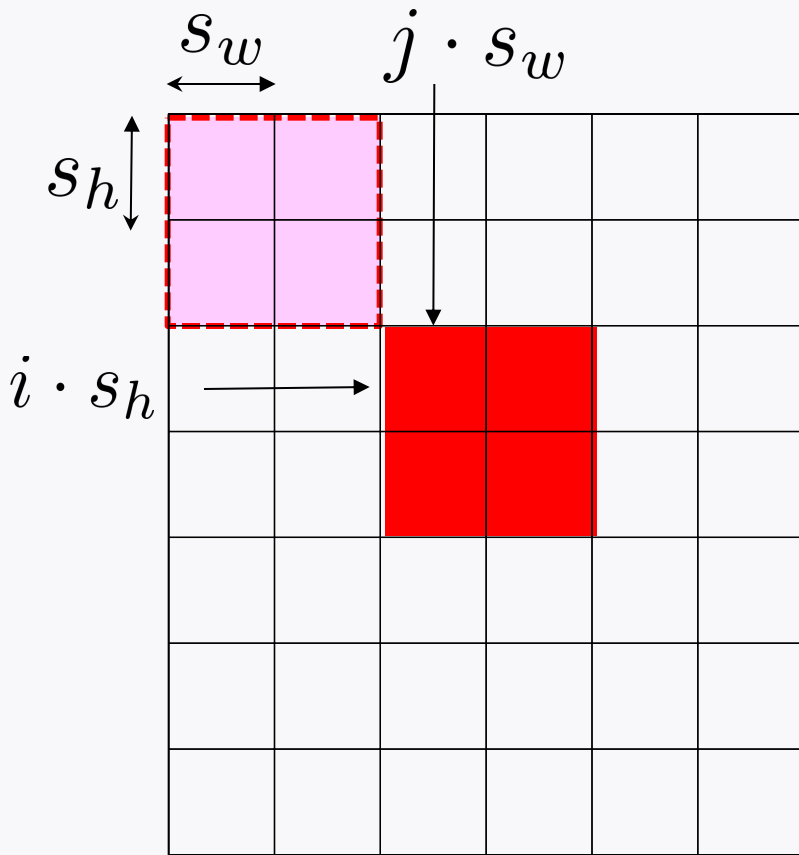
input



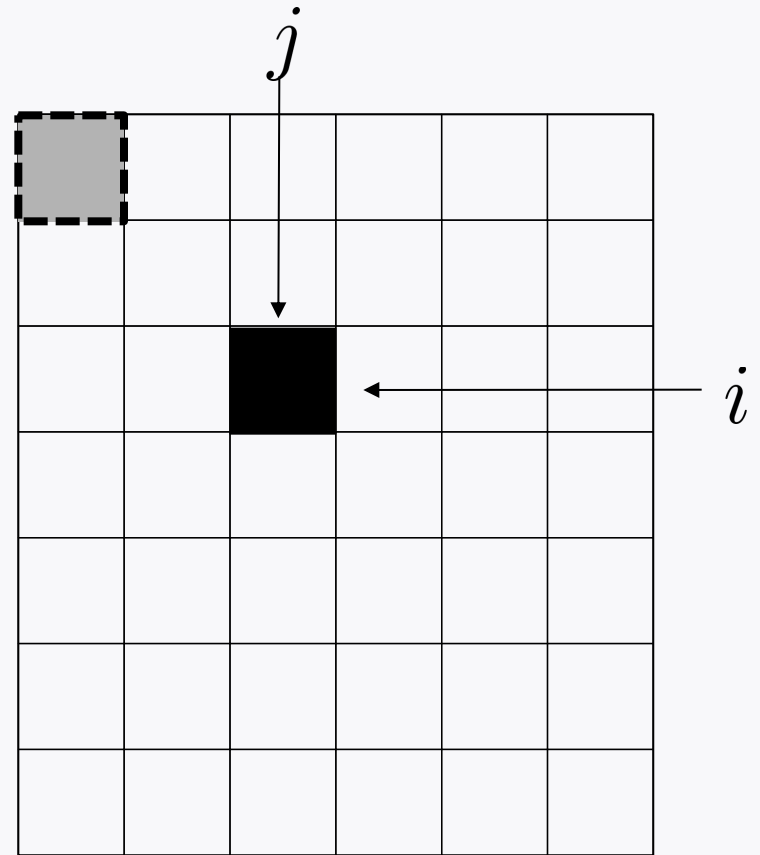
conv



# In general ...

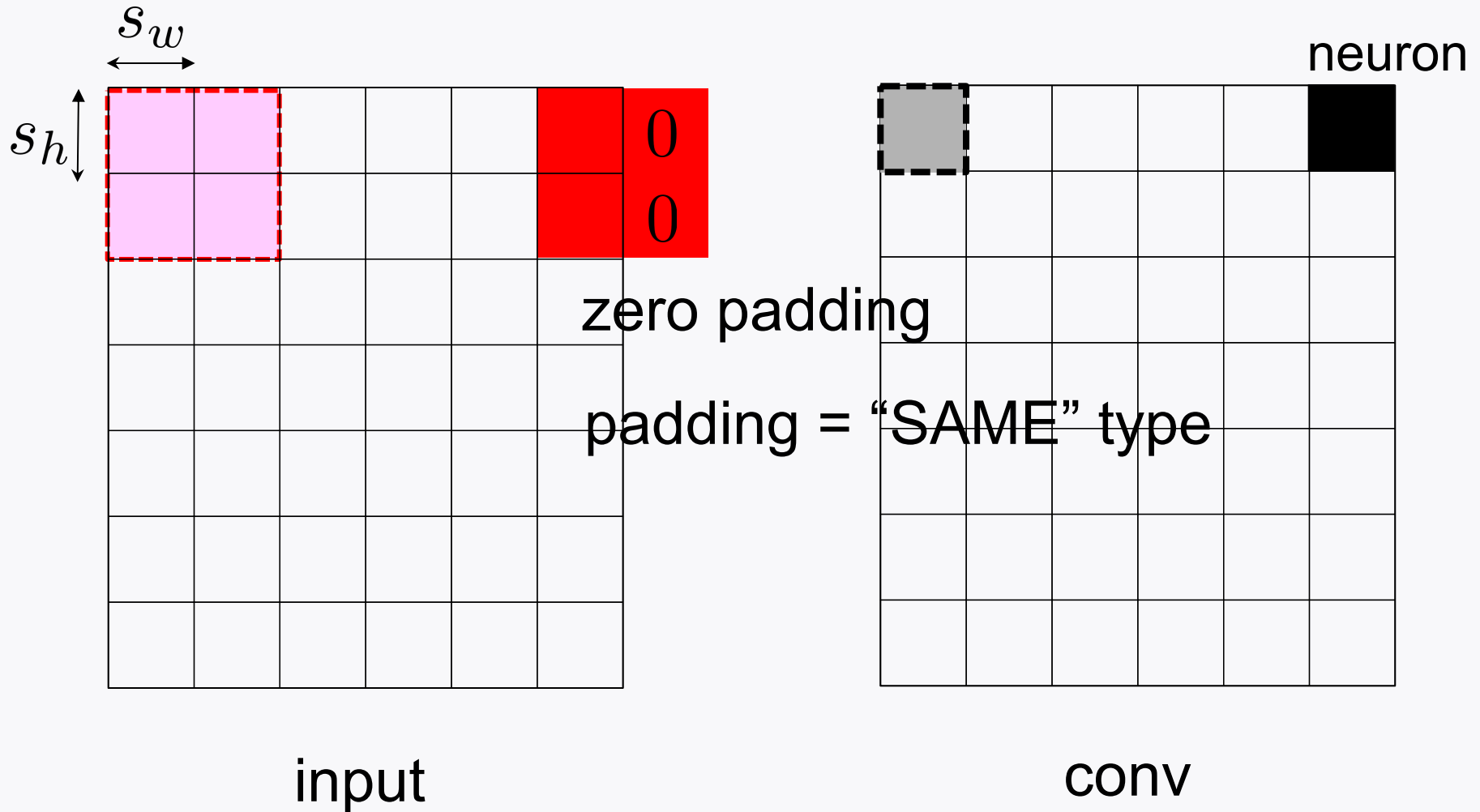


input

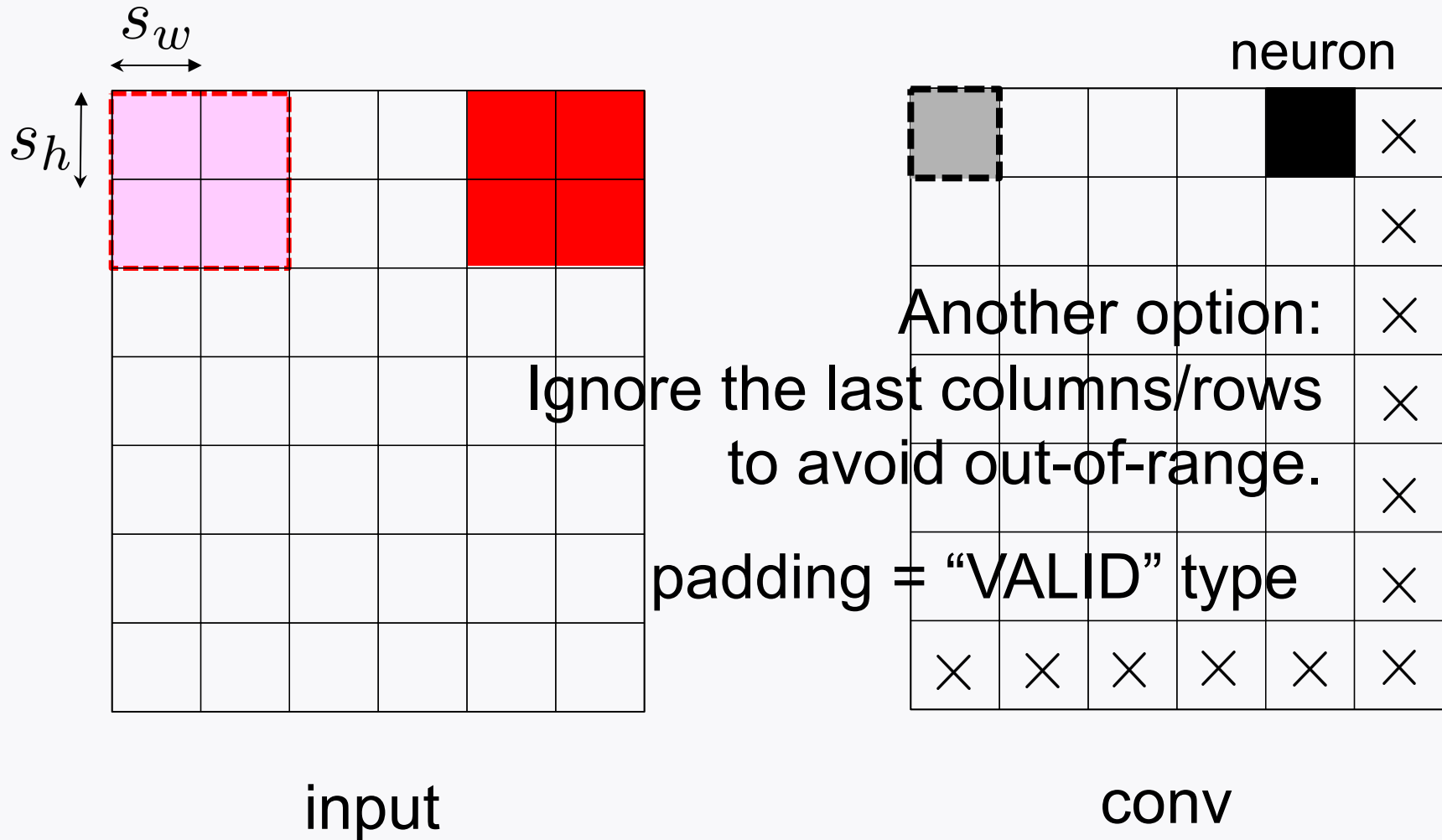


conv

# What if a receptive field is out of range?



# What if a receptive field is out of range?

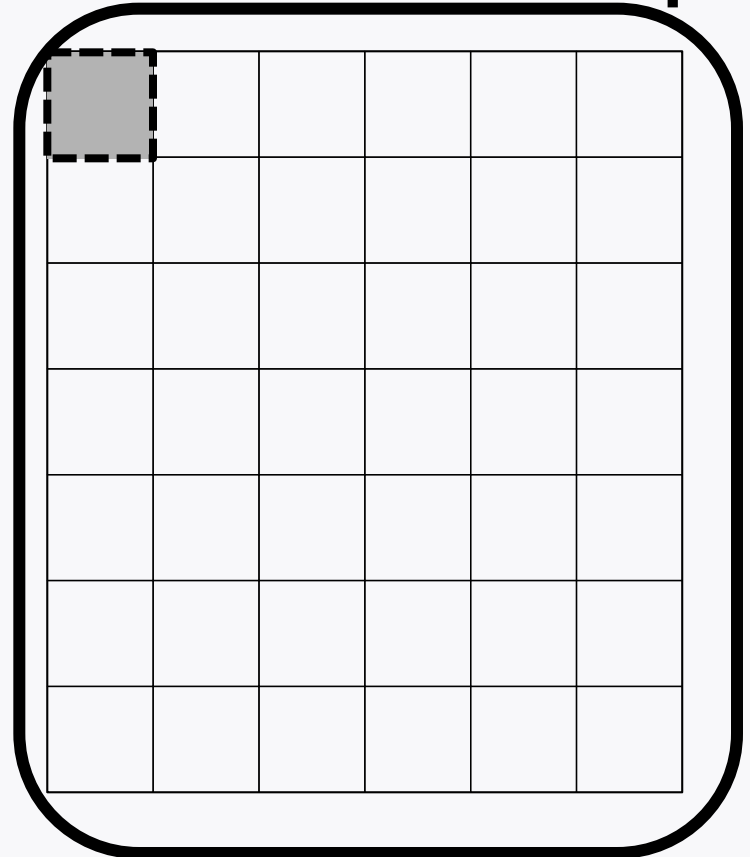


# Feature map

filter



Called: **feature map**



**Role:** Detect a certain pattern (feature).

**Note:** Filter type depends on a target pattern that we wish to detect.

This is why we *share the same filter* for computations of other neurons *within the same feature map*.

# Look ahead

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1. Will study further on “feature map”.
2. Will study 2<sup>nd</sup> building block: **Pooling** layer